# William Luk

Regional Director, Northern California

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# **Education**

#### **Master in Business Administration**

USC - Marshall School of Business, Los Angeles, California

#### **Master of Science in Computer Science**

University of Southern California, Los Angeles, California

#### **Bachelor of Science in Computer Science**

University of Southern California, Los Angeles, California

# **Employment**

# **Regional Director, Northern California**

Quandary Peak Research | Hayward, CA | July 2021-Present

- Evaluating the software architecture of various products and assessing overall characteristics, strengths and weaknesses.
- Analyzing source code to opine on various software characteristics such as scalability, interoperability, security, and extensibility.
- Using a suite of tools to programmatically detect the presence of open-source software and potential exposure to problematic open-source licenses and security vulnerabilities.
- Interfacing with private equity, VC and other clients as well as executives/technical team from target companies.
- Documenting and conveying technical findings to clients in the form of a formal report and/or executive briefing.

#### **Founder**

Data Lantern Inc | Newark, California | Feb 2014-Dec 2020

- Built a secure data ecosystem for Internet of Things (IoT) to solve data security / privacy, smart device interoperability, and monetization / adoption issues.
- Developed a PoC backend to secure smart device data with REST API's.
- Led an off-shore team to develop a React-Native based data gathering app for Apple iOS and Android based devices (available on both Apple and Google App Stores).
- Pitched the company's ideas, business model, and execution strategies to investors and partners.

#### Consultant

PeakEquity Partners | Radnor, Pennsylvania | Sept 2015 – Dec 2017

- Performed technical due diligence of Security, SaaS, and Enterprise Content Management (ECM) companies for possible investment or acquisition.
- Reviewed target company's product architecture, product security, deployment strategies, and support infrastructure for technical and security risk and exposure.
- Compared target company's technology stacks and tools against the latest industry standards.
- Identified and recommended solutions to investment's potential technical, security, development, quality, deployment, and operational (on-premise / SaaS) issues.

## **Advisor / Product Management**

Sideband Networks | San Jose, California | Feb 2014-Jan 2016

- Refocused company's strategy from network management to behavior-based security and risk monitoring using extremely large data analytics.
- Authored a high-level PRD of a network anomalies based security appliance for enterprises.
- Evaluated engineering process and established an Agile development process (Jira) for both engineering and product management teams.

# **Consultant / Product Management**

Prevalent Inc | Warren, New Jersey | June 2014-Aug 2015

- Defined the product requirements and workflows of the Vendor Risk Management (VRM) SaaS offering.
- Monitor the off-shore development team progress and execution velocity.
- Evaluated micro-service architecture of the SaaS backend for scalability.

## Senior Director, Software Development

CA Technologies Inc | Santa Clara, California | Nov 2010-Feb 2014

- Led the ERwin data modeling product development to support extremely large enterprise databases for Fortune 100 companies.
- Managed the CA APM development team to develop the first SaaS solution.
- Partnered with CA Ops, SaaS Platform team, Product Management, Sales, and 3rd Party Security Auditors to deliver the APM SaaS solutions on AWS.
- Represented CA to work with key enterprise customers and world-wide user groups to present roadmaps, and address and resolve product issues and concerns.
- Presented and updated CA senior executives quarterly on product development, key support issues, and customer satisfaction (NPS).

#### **Senior Director, Advanced Products**

Yousendit / HighTail Inc | Campbell, California | Apr 2010 – Nov 2010

- Formulated product strategies and roadmaps to extend freemium SaaS offering.
- Expanded business into China by partnering with CDN (ChinaCache) in China.

Shifted focus from email file exchange to cloud storage and mobile computing platform

## **Director, Development**

Symantec Corporation | Mountain View, California | May 2003-June 2009

- Led the development of Symantec Network Security (IDS/IPS) and Database Security products for very large enterprises.
- Mentored and developed leaderships in coding, quality engineering, and product management.
- Managed global engineering teams in the US, Pune India, and Bangalore India.

# Software Manager

CoSine Communications | Redwood City, California | Feb 1999-May 2003

- Architected, designed, and managed the development of the stateful firewall, H.323, and SIP gateway for the carrier class IPSX network switch with virtualized security services.
- Developed a security architecture for the embedded RTOS inside a network switch.
- Deployed a secured H.323 based video conference solution in the AT&T network.

# **Network Security Architect**

Union Bank of California (UBOC) | Monterey Park, California | Jan 1998-Feb 1999

- Architected the security perimeters for UBOC's two primary data centers.
- Evaluated and implemented encryption of wire transfer processing within the UBOC network.
- Represented Information Security to partner with different UBOC business units to identify potential security and risk exposures of new initiatives.
- Partnered with UBOC auditors to conduct audits of employee's remote access.
- Designed the UBOC's security policies and incident response procedures to comply with Federal banking regulations.

## Senior Software Engineer / Consultant,

Trusted Information Systems (TIS) | Los Angeles, California | May 1995-Jan 1998

- Designed and developed the Oracle SQL\*Net, Microsoft SQL Server, SNMP, and Line Printer application proxies for the Gauntlet Firewall, the first commercial Internet firewall.
- Consulted Republic National Bank of New York, American Express, Monsanto, Hughes Aircraft, and US Navy on network and perimeter security.

# **Litigation Consulting**

USA v. Hytera Communications Corporation, Ltd et al. | Apr 2024-Present

Jurisdiction: US District Court, Northern District of Illinois

Case Number: 1:20-cr-00688

Counsel: U.S. Attorney's Office Northern District of Illinois

Nature of Suit: Criminal, Trade Secret

#### Anduril Industries, Inc v. Salient Motion Inc | Apr 2024-Present

Jurisdiction: US District Court, Central District of California

Case Number: 8:23-cv-01650 Counsel: McKool Smith, P.C. Nature of Suit: Trade Secret

# • InQuisient Inc v. ServiceNow, Inc | Mar 2024-Present Jurisdiction: US District Court for the District of Delaware

Case Number: 1:22-cv-00900 Counsel: Fish & Richardson P.C.

Nature of Suit: Patent

#### • Robotic Vision Technologies Inc v. ABB Inc | Feb 2024-Present

Jurisdiction: Delaware District Court

Case Number: 1:22-cv-01257

Counsel: Sterne Kessler Goldstein & Fox PLLC

Nature of Suit: Patent, Trade Secret and Copyright Infringement

Technology: Robotic computer vision, gripper targeting, feature selection and identification

## • Polaris PowerLED Technologies, LLC v. Samsung Electronics America | Sept 2023-Present

Jurisdiction: Eastern District of Texas, Marshal Division

Case Number 2:22-cv-353-JRG

Counsel: Kramer Alberti Lim & Tonkovich LLP

Nature of Suit: Patent

#### • Security First Innovations, LLC vs Google LLC | Sept 2023-Present

Jurisdiction: US District Court Eastern District of Virginia Alexandria Division

Case Number 2-23-cv-00097-EWH-RJK Counsel: Sullivan & Cromwell LLP

Nature of Suit: Patent

#### • Donna Wood, et al. v. Mike Bloomberg 2020, Inc. | Sept 2023-Present

Jurisdiction: US District Court Southern District of New York

Case Number 20-cv-02489-LTS-GWG

Counsel: Proskauer Rose LLP

Nature of Suit: Class Action, Political

#### Nantworks, LLC, and Nant Holdings IP, LLC v. Niantic, Inc. | Aug 2023-Present

Jurisdiction: US District Court Northern District of California

Case Number 3:20-cv-06262

Counsel: Sullivan Blackburn Pratt LLP

Nature of Suit: Patent

#### • K+S Potash Canada General Partnership v. Veolia Water Technologies Inc | Mar 2023-Present

Jurisdiction: Court Of Queen's Bench For Saskatchewan

Case Number QB No. 817 (filed 2018)

Counsel: Stikeman Elliott LLP Nature of Suit: Breach of Contract

Technology: Plant Design Management Systems (PDMS), Clash Detection, Database synchronization

Role: Software Architecture and Product Reviewer

#### Wildseed Mobile v. Google LLC | Jan 2023-Present

Jurisdiction: US District Court Western District of Texas Waco Division

Case Number: 6:22-cv-00357

Counsel: Feinberg Day Kramer Alberti Lim Tonkovich & Belloli LLP

Nature of Suit: Patent Infringement

## · Taasera Licensing, LLC v. Trend Micro,

Check Point Software, Palo Alto Networks | Jan 2023 – Dec 2023 Jurisdiction: US District Court Eastern District of Texas Marshall Division

Case Number: 2:22-cv-00062-JRG-RSP

Counsel: Fabricant LLP
Nature of Suit: Patent

#### · GoTV Streaming LLC v. Netflix | Jan 2023-Present

Jurisdiction: US District Court Central District of California Western Division

Case Number: 2:22-cv-07556 Counsel: Alavi & Anaipakos, PLLC

Nature of Suit: Patent

#### • Sunstone v. <u>F5</u> | Sept 2022–Present

Jurisdiction: US District Court Northern District of California Oakland Division

Case Number: 4:21-cv-09529-YGR Counsel: King & Spalding LLP

Nature of Suit: Patent

#### · Carrolls v. Neels | July 2022-Mar 2024

Jurisdiction: Superior Court Maricopa County, Arizona

Case Number: CV2021-008343

Counsel: Guidant Law Firm, Tempe Arizona

Nature of Suit: Misrepresentation & Non-disclosure

#### Broadband iTV, Inc. v. Comcast Corporation; Comcast Cable Communications, LLC; NBCUniversal Media,

**LLC** | Apr 2022-Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

#### · Broadband iTV, Inc. v. Charter Communications, Inc; Charter Communications Holding Company, LLC | Apr

2022-Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

#### • Broadband iTV, Inc. v. Spectrum Management Holding Company, LLC | Apr 2022 – Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

#### • Broadband iTV, Inc. v. Altice USA, Inc; CSC Holdings, LLC; Cablevision Systems Corp. | Apr 2022-Sept 2022

Jurisdiction: International Trade Commission

Case Number: 337-TA-1315

Counsel: Kramer Day Alberti Lim Tonkovich & Belloli

Nature of Suit: Patent

#### · Via Transportation v. RideCo Inc | June 2022-Present

Jurisdiction: Western District of Texas

Case Number: 6:21-cv-00457

Counsel: Quinn Emanuel Urguhart & Sullivan LLP

Nature of Suit: Patent

#### • Cryptocurrency Pre-Litigation Investigation (Confidential Defendant) | May 2022

Jurisdiction: Federal (DoJ) Counsel: Todd & Weld LLP

Nature of Suit: Cryptocurrency, Blockchain, Smart-Contracts

# • ReactX v. Google | Apr 2022-Present

Jurisdiction: Central District of Los Angeles

Case Number: 18STCV09674

Counsel: Cohen Milstein Sellers & Toll PLLC

Nature of Suit: Trade Secret

#### • Express Mobile v. Microsoft | Sept 2021-Present

Jurisdiction: Northern District of California

Case Number: 3:20-cv-06152

Counsel: Feinberg Day Kramer Alberti Lim Tonkovich & Belloli LLP

Nature of Suit: Patent

#### • <u>Centripetal</u> v. Palo Alto Networks | Aug 2021-Present

Jurisdiction: Eastern District of Virginia
Case Number: 2:21-cv-00137-AWA-RJK
Counsel: Kramer Levin Naftalis & Frankel LLP

Nature of Suit: Patent

# **Tech Due Diligence Consulting**

• Genpact | M&A | May 2023

Technology: AI/ML, Predictive Analysis

#### • Lionard PTE Ltd, Singapore | Startup M&A | April 2022

Technologies: Blockchain, Content

## Tenex Capital Management | Startup M&A | Mar 2022

Technologies: B2B2C, Employee Wellness

#### • Setana Energy Group | Startup M&A | Dec 2021

Technologies: On-line shopping

# · Schneider Electric | Startup M&A | Dec 2021

Technologies: SaaS, Electric Vehicle

#### • Schneider Electric | Startup Investment | Nov 2021

Technologies: SaaS, Energy

Schneider Electric | Startup M&A | Nov 2021
 Technologies: Lead Generation, Energy

• Tenex Capital Management | Startup M&A | Nov 2021

Technologies: Lead Generation, Solar

# **Publications**

William Luk | 2015
 Internet of Things / Internet of Sensor Data

- William Luk | CA ERWorld 2012 | 2012
   A Unified Data Modeler in the World of Big Data
- William Luk | YouSendIt, Inc | 2010
   Data Migration and Collaboration in the Cloud

# **Certifications**

Scrum Alliance
 Certified Scrum Product Owner (CSPO)
 Certified Scrum Master (CSM)

# **Skills**

**Technical:** C, C++, Python, JavaScript, PHP, Java, SQL, MySQL, MongoDB, PostgreSQL, HBase, GraphDB, GraphQL, React-Native, TCP/IP, RTOS (VxWorks), Network Security, hardware appliances

Tools: AWS, Ubuntu, iOS, Node, Docker, Git, GitHub, Hadoop, Hive, Jira, IBM RTC, VersionOne

**Management:** Full software lifecycle, Global engineering teams, Offshore / contract engineering teams, Agile product delivery (engineering & product management), Leadership coaching / development, Executive level communications / presentation, Customer requirements and relationship building